

1765

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Mak, et al.

Serial No.: 09/734,950

Confirmation No.: 3444

Filed: December 11, 2000

For: **Optical Integrated Circuits
(ICS)**

Group Art Unit: 1765

Examiner: Binh X. Tran

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING
37 CFR 1.8

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

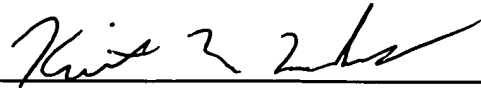
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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No.20-0782/AMAT/5621.Y1/KMT.

Respectfully submitted,



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**SUPPLEMENTAL
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(Use as many sheets as necessary)

Sheet 1

of 1

Application Number	09/734,950
Filing Date	DECEMBER 11, 2000
First Named Inventor	CECILIA Y. MAK, ET AL.
Group Art Unit	1765
Examiner Name	BINH X. TRAN
Attorney Docket Number	AMAT/5621.Y1/DISPLAY/AKT/RKK
Submission Date	_____, 2004

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US-5,949,934	09/07/1999	Shima, et al.	
	A2	US-5,378,256	01/03/1995	Green, et al.	
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			
	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			
	A17	US-			
	A18	US-			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	B1	PCT Written Opinion for PCT/US01/47493, dated March 12, 2004 (AMAT/5621.PC).	

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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